

Lie identities on symmetric elements of restricted enveloping algebras

Salvatore Siciliano¹, Hamid Usefi²

¹Università del Salento

²Memorial University of Newfoundland

Polynomial Identities in Algebras II, St. John's, 2011

Lifting Identities

- Let A be an algebra with involution $*$ over a field \mathbb{F} . We denote by $A^+ := \{x \in A \mid x^* = x\}$ the set of symmetric elements of A under $*$ and by $A^- := \{x \in A \mid x^* = -x\}$ the set of skew-symmetric elements.

Lifting Identities

- Let A be an algebra with involution $*$ over a field \mathbb{F} . We denote by $A^+ := \{x \in A \mid x^* = x\}$ the set of symmetric elements of A under $*$ and by $A^- := \{x \in A \mid x^* = -x\}$ the set of skew-symmetric elements.
- A question of general interest is which properties of A^+ or A^- can be lifted to the whole algebra A .

Lifting Identities

- Let A be an algebra with involution $*$ over a field \mathbb{F} . We denote by $A^+ := \{x \in A \mid x^* = x\}$ the set of symmetric elements of A under $*$ and by $A^- := \{x \in A \mid x^* = -x\}$ the set of skew-symmetric elements.
- A question of general interest is which properties of A^+ or A^- can be lifted to the whole algebra A .
- The history of this problem goes back to Herstein where he had conjectured that if the symmetric or skew-symmetric elements of a ring R satisfy a polynomial identity, then so does R .

Lifting Identities

- Let A be an algebra with involution $*$ over a field \mathbb{F} . We denote by $A^+ := \{x \in A \mid x^* = x\}$ the set of symmetric elements of A under $*$ and by $A^- := \{x \in A \mid x^* = -x\}$ the set of skew-symmetric elements.
- A question of general interest is which properties of A^+ or A^- can be lifted to the whole algebra A .
- The history of this problem goes back to Herstein where he had conjectured that if the symmetric or skew-symmetric elements of a ring R satisfy a polynomial identity, then so does R .
- Simple case and semi-prime algebras due to Herstein and Martindale.

Lifting Identities

- Let A be an algebra with involution $*$ over a field \mathbb{F} . We denote by $A^+ := \{x \in A \mid x^* = x\}$ the set of symmetric elements of A under $*$ and by $A^- := \{x \in A \mid x^* = -x\}$ the set of skew-symmetric elements.
- A question of general interest is which properties of A^+ or A^- can be lifted to the whole algebra A .
- The history of this problem goes back to Herstein where he had conjectured that if the symmetric or skew-symmetric elements of a ring R satisfy a polynomial identity, then so does R .
- Simple case and semi-prime algebras due to Herstein and Martindale.
- Notably this conjecture was proved by Amitsur in 1968 and subsequently generalized by himself in 1969.

- A subset S of A is said to be Lie nilpotent if there exists a positive integer n such that

$$[x_1, \dots, x_n] = 0$$

for every $x_1, \dots, x_n \in S$, while S is said to be bounded Lie Engel if there is an n such that

$$[x, {}_n y] = 0$$

for every $x, y \in S$.

Group Algebras

- Let G be a group and consider the group algebra $\mathbb{F}G$ over a field \mathbb{F} . The canonical involution is induced by $g \mapsto g^{-1}$, for every $g \in G$.

Group Algebras

- Let G be a group and consider the group algebra $\mathbb{F}G$ over a field \mathbb{F} . The canonical involution is induced by $g \mapsto g^{-1}$, for every $g \in G$.
- There has been an intensive investigation devoted to demonstrate the extent to which the symmetric or skew-symmetric elements of $\mathbb{F}G$ under the canonical involution determine the structure of the group algebra.

Group Algebras

- Let G be a group and consider the group algebra $\mathbb{F}G$ over a field \mathbb{F} . The canonical involution is induced by $g \mapsto g^{-1}$, for every $g \in G$.
- There has been an intensive investigation devoted to demonstrate the extent to which the symmetric or skew-symmetric elements of $\mathbb{F}G$ under the canonical involution determine the structure of the group algebra.
- In particular, the characterization of groups G for which $\mathbb{F}G^-$ or $\mathbb{F}G^+$ is Lie nilpotent was carried out by Giamb Bruno, Sehgal, and Lee during 1993-2006.

- Let G be a group and consider the group algebra $\mathbb{F}G$ over a field \mathbb{F} . The canonical involution is induced by $g \mapsto g^{-1}$, for every $g \in G$.
- There has been an intensive investigation devoted to demonstrate the extent to which the symmetric or skew-symmetric elements of $\mathbb{F}G$ under the canonical involution determine the structure of the group algebra.
- In particular, the characterization of groups G for which $\mathbb{F}G^-$ or $\mathbb{F}G^+$ is Lie nilpotent was carried out by Giamb Bruno, Sehgal, and Lee during 1993-2006.
- Furthermore, if either $\mathbb{F}G^-$ or $\mathbb{F}G^+$ is bounded Lie Engel, and G is devoid of 2-elements, Lee in 2000 showed that $\mathbb{F}G$ is bounded Lie Engel. He also classified remaining groups for which $\mathbb{F}G^+$ is bounded Lie Engel.

- Let G be a group and consider the group algebra $\mathbb{F}G$ over a field \mathbb{F} . The canonical involution is induced by $g \mapsto g^{-1}$, for every $g \in G$.
- There has been an intensive investigation devoted to demonstrate the extent to which the symmetric or skew-symmetric elements of $\mathbb{F}G$ under the canonical involution determine the structure of the group algebra.
- In particular, the characterization of groups G for which $\mathbb{F}G^-$ or $\mathbb{F}G^+$ is Lie nilpotent was carried out by Giambruno, Sehgal, and Lee during 1993-2006.
- Furthermore, if either $\mathbb{F}G^-$ or $\mathbb{F}G^+$ is bounded Lie Engel, and G is devoid of 2-elements, Lee in 2000 showed that $\mathbb{F}G$ is bounded Lie Engel. He also classified remaining groups for which $\mathbb{F}G^+$ is bounded Lie Engel.
- The Lie solvable case has been considered, however a complete answer to this case seems still under way.

Enveloping Algebras

- Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$ and let $u(L)$ be the restricted enveloping algebra of L .

Enveloping Algebras

- Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$ and let $u(L)$ be the restricted enveloping algebra of L .
- We denote by \top the *principal involution* of $u(L)$, that is, the unique \mathbb{F} -antiautomorphism of $u(L)$ such that $x^\top = -x$ for every x in L . We recall that \top is just the antipode of the \mathbb{F} -Hopf algebra $u(L)$.

Enveloping Algebras

- Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$ and let $u(L)$ be the restricted enveloping algebra of L .
- We denote by \top the *principal involution* of $u(L)$, that is, the unique \mathbb{F} -antiautomorphism of $u(L)$ such that $x^\top = -x$ for every x in L . We recall that \top is just the antipode of the \mathbb{F} -Hopf algebra $u(L)$.
- The symmetric elements do not form a Lie subalgebra of $u(L)$ in general but they form a Jordan subalgebra under the Jordan bracket $x \circ y = \frac{1}{2}(xy + yx)$.

Enveloping Algebras

- Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$ and let $u(L)$ be the restricted enveloping algebra of L .
- We denote by \top the *principal involution* of $u(L)$, that is, the unique \mathbb{F} -antiautomorphism of $u(L)$ such that $x^\top = -x$ for every x in L . We recall that \top is just the antipode of the \mathbb{F} -Hopf algebra $u(L)$.
- The symmetric elements do not form a Lie subalgebra of $u(L)$ in general but they form a Jordan subalgebra under the Jordan bracket $x \circ y = \frac{1}{2}(xy + yx)$.
- Recently, Siciliano established the conditions under which $u(L)^-$ is Lie solvable, Lie nilpotent or bounded Lie Engel.

Theorem

Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$. Then the following conditions are equivalent:

- 1) $u(L)^+$ is bounded Lie Engel;*
- 2) $u(L)$ is bounded Lie Engel;*
- 3) L is nilpotent, L' is p -nilpotent, and L contains a restricted ideal I such that L/I and I' are finite-dimensional.*

Theorem

Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$. Then the following conditions are equivalent:

- 1) $u(L)^+$ is Lie nilpotent;*
- 2) $u(L)$ is Lie nilpotent;*
- 3) L is nilpotent and L' is finite-dimensional and p -nilpotent.*

Theorem

Let L be a restricted Lie algebra over a field \mathbb{F} of characteristic $p > 2$. Then the following conditions are equivalent:

- 1) $u(L)^+$ is Lie solvable;*
- 2) $u(L)$ is Lie solvable;*
- 3) L' is finite-dimensional and p -nilpotent.*

- The equivalence of 2) and 3) in our Theorems was established by Riley and Shalev in 1993.

- The equivalence of 2) and 3) in our Theorems was established by Riley and Shalev in 1993.
- Our main contribution is to prove that 1) implies 3) in these theorems.

- The equivalence of 2) and 3) in our Theorems was established by Riley and Shalev in 1993.
- Our main contribution is to prove that 1) implies 3) in these theorems.
- We can conclude that, in odd characteristic, if either $u(L)^+$ or $u(L)^-$ is Lie solvable (respectively, bounded Lie Engel or Lie nilpotent) then so is the whole algebra $u(L)$. Note that $[A^+, A^+] \subseteq A^-$.

- The equivalence of 2) and 3) in our Theorems was established by Riley and Shalev in 1993.
- Our main contribution is to prove that 1) implies 3) in these theorems.
- We can conclude that, in odd characteristic, if either $u(L)^+$ or $u(L)^-$ is Lie solvable (respectively, bounded Lie Engel or Lie nilpotent) then so is the whole algebra $u(L)$. Note that $[A^+, A^+] \subseteq A^-$.
- Such conclusions are no longer true in characteristic 2.

Some Known Results

Theorem (Amitsur)

Let A be an associative algebra with involution. If A^+ (or A^-) satisfies a PI then A satisfies a polynomial identity.

Theorem (Passman and Petrogradsky)

The enveloping algebra $u(L)$ satisfies a PI if and only if L has a restricted ideal A of finite codimension in L such that A is nilpotent of class two and A' is finite-dimensional and p -nilpotent.

Some Known Results

Theorem (Stewart)

Let L be a Lie algebra with a nilpotent ideal M such that L/M' is nilpotent. Then L is nilpotent.

Corollary

Let L be a restricted Lie algebra with a nilpotent ideal M such that $L/(M')_p$ is nilpotent. Then L is nilpotent.

Proof. Suppose that $\gamma_{c+1}(L) \subseteq (M')_p$. Then $\gamma_{c+2}(L) \subseteq [(M')_p, L] \subseteq M'$. Thus, L/M' is a nilpotent Lie algebra and it follows from the Theorem that L is nilpotent.

Lemma

Let $L = \langle H \rangle_p$ where H is a finite-dimensional Lie algebra. If $u(L)^+$ is bounded Lie Engel then L is nilpotent.

Lemma

Let L be a metabelian restricted Lie algebra containing an abelian ideal N of finite codimension. If $u(L)^+$ is bounded Lie Engel, then L is nilpotent.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.
- *Proof.* It follows from Amitsur's theorem that $u(L)$ is PI.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.
- *Proof.* It follows from Amitsur's theorem that $u(L)$ is PI.
- Thus, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Hence, by Stewart's theorem, it is enough to prove that $L/(N')_\rho$ is nilpotent.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.
- *Proof.* It follows from Amitsur's theorem that $u(L)$ is PI.
- Thus, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Hence, by Stewart's theorem, it is enough to prove that $L/(N')_p$ is nilpotent.
- So, we can assume that N is abelian.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.
- *Proof.* It follows from Amitsur's theorem that $u(L)$ is PI.
- Thus, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Hence, by Stewart's theorem, it is enough to prove that $L/(N')_p$ is nilpotent.
- So, we can assume that N is abelian.
- Since L/N is finite-dimensional, by Lemma 1 we see that L/N is nilpotent. Thus L is solvable.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is bounded Lie Engel. Then L is nilpotent.
- *Proof.* It follows from Amitsur's theorem that $u(L)$ is PI.
- Thus, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Hence, by Stewart's theorem, it is enough to prove that $L/(N')_p$ is nilpotent.
- So, we can assume that N is abelian.
- Since L/N is finite-dimensional, by Lemma 1 we see that L/N is nilpotent. Thus L is solvable.
- Let d be the derived length of L and consider $M = \delta_{d-2}(L)_p$. By Lemma 2, we know that M is nilpotent. Moreover, by induction on the derived length we have that $L/(M')_p = L/\delta_{d-1}(L)_p$ is nilpotent. Therefore, by Stewart's theorem, we conclude that L is nilpotent. □

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L is solvable.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L is solvable.
- *Proof.* We can assume that \mathbb{F} is algebraically closed. By Amitsur's theorem, $u(L)$ is PI and then, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Thus we can replace L by L/N and assume that L is finite-dimensional.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L is solvable.
- *Proof.* We can assume that \mathbb{F} is algebraically closed. By Amitsur's theorem, $u(L)$ is PI and then, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Thus we can replace L by L/N and assume that L is finite-dimensional.
- Suppose that L is a counterexample of minimal dimension.

- **Proposition:** Let L be a restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L is solvable.
- *Proof.* We can assume that \mathbb{F} is algebraically closed. By Amitsur's theorem, $u(L)$ is PI and then, by Passman and Petrogradsky's theorem, there exists a nilpotent restricted ideal N of L of finite codimension. Thus we can replace L by L/N and assume that L is finite-dimensional.
- Suppose that L is a counterexample of minimal dimension.
- Now, if M is a non-trivial restricted ideal of L , then $u(M)^+$ and $u(L/M)^+$ are Lie solvable. Hence the minimality of L forces that both M and L/M are solvable, so that L is solvable, a contradiction. Consequently, L has no non-trivial restricted ideal.

- Now, we claim that L' is a simple Lie algebra.
- Let I be a nonzero ideal of L' . As $(L')_\rho$ is a nonzero restricted ideal of L we have $(L')_\rho = L$ and then I is an ideal of L . It follows that $I_\rho = L$ and then $L' = [I_\rho, I_\rho] = [I, I] \subseteq I$, so that $I = L'$, as claimed. In particular, L' is a simple restricted L -module.
- Denote by \mathcal{J} the Jacobson radical of $u(L)$. Note that the simple modules of $u(L)$ and $u(L)/\mathcal{J}$ are the same. Since \mathcal{J} is \mathbb{T} -invariant we have that $(u(L)/\mathcal{J})^+$ under the induced involution is Lie solvable. Let $u(L)/\mathcal{J} \cong \bigoplus_{i=1}^r M_{n_i}(\mathbb{F})$ be the Wedderburn decomposition of $u(L)/\mathcal{J}$.
- Then by a result of Lee et al. we have that $n_i \leq 2$ for every i . Therefore every irreducible representation of $u(L)$ has degree 1 or 2. In particular L' has dimension less than 3, so that L is solvable, a contradiction. □

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.
- *Proof.* We know that L is solvable. By induction on the derived length of L , we can assume L is metabelian. Furthermore, we can suppose that the ground field \mathbb{F} is algebraically closed.

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.
- *Proof.* We know that L is solvable. By induction on the derived length of L , we can assume L is metabelian. Furthermore, we can suppose that the ground field \mathbb{F} is algebraically closed.
- Let \mathcal{J} be the Jacobson radical of $u(L)$ and consider the restricted Lie algebra $H = L + \mathcal{J}/\mathcal{J}$.

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.
- *Proof.* We know that L is solvable. By induction on the derived length of L , we can assume L is metabelian. Furthermore, we can suppose that the ground field \mathbb{F} is algebraically closed.
- Let \mathcal{J} be the Jacobson radical of $u(L)$ and consider the restricted Lie algebra $H = L + \mathcal{J}/\mathcal{J}$.
- Since \mathcal{J} is a nilpotent ideal of $u(L)$, it suffices to show that H' is p -nilpotent. Thus, we may assume that H is not abelian.

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.
- *Proof.* We know that L is solvable. By induction on the derived length of L , we can assume L is metabelian. Furthermore, we can suppose that the ground field \mathbb{F} is algebraically closed.
- Let \mathcal{J} be the Jacobson radical of $u(L)$ and consider the restricted Lie algebra $H = L + \mathcal{J}/\mathcal{J}$.
- Since \mathcal{J} is a nilpotent ideal of $u(L)$, it suffices to show that H' is p -nilpotent. Thus, we may assume that H is not abelian.
- Note that $u(L)/\mathcal{J} \cong \mathbb{F} \oplus \cdots \oplus \mathbb{F} \oplus M_2(\mathbb{F}) \oplus \cdots \oplus M_2(\mathbb{F})$.

- **Proposition:** Let L be a finite-dimensional restricted Lie algebra such that $u(L)^+$ is Lie solvable. Then L' is p -nilpotent.
- *Proof.* We know that L is solvable. By induction on the derived length of L , we can assume L is metabelian. Furthermore, we can suppose that the ground field \mathbb{F} is algebraically closed.
- Let \mathcal{J} be the Jacobson radical of $u(L)$ and consider the restricted Lie algebra $H = L + \mathcal{J}/\mathcal{J}$.
- Since \mathcal{J} is a nilpotent ideal of $u(L)$, it suffices to show that H' is p -nilpotent. Thus, we may assume that H is not abelian.
- Note that $u(L)/\mathcal{J} \cong \mathbb{F} \oplus \cdots \oplus \mathbb{F} \oplus M_2(\mathbb{F}) \oplus \cdots \oplus M_2(\mathbb{F})$.
- Without loss of generality we can assume that H is a restricted Lie subalgebra of $M_2(\mathbb{F})$.

- Since $M_2(\mathbb{F})$ is not Lie metabelian, we have $\dim H \leq 3$. If $\dim H = 2$, then there exists $x, y \in H$ such that with $[x, y] = x$. In this case we must have $x^p = 0$ and $y^p = y$, so we are done.

- Since $M_2(\mathbb{F})$ is not Lie metabelian, we have $\dim H \leq 3$. If $\dim H = 2$, then there exists $x, y \in H$ such that with $[x, y] = x$. In this case we must have $x^p = 0$ and $y^p = y$, so we are done.
- Assume then $\dim H = 3$. It is clear that the identity matrix I_2 must be in H , otherwise $M_2(\mathbb{F})$ is spanned by H and I_2 so that $M_2(\mathbb{F})$ is Lie metabelian, which is not possible.

- Since $M_2(\mathbb{F})$ is not Lie metabelian, we have $\dim H \leq 3$. If $\dim H = 2$, then there exists $x, y \in H$ such that with $[x, y] = x$. In this case we must have $x^p = 0$ and $y^p = y$, so we are done.
- Assume then $\dim H = 3$. It is clear that the identity matrix I_2 must be in H , otherwise $M_2(\mathbb{F})$ is spanned by H and I_2 so that $M_2(\mathbb{F})$ is Lie metabelian, which is not possible.
- Note that $H' \subseteq [M_2(\mathbb{F}), M_2(\mathbb{F})] = \mathfrak{sl}_2(\mathbb{F})$. Since H' is abelian and $\mathfrak{sl}_2(\mathbb{F})$ has no 2-dimensional abelian subalgebra, it follows that $\dim H' = 1$.

- Since $M_2(\mathbb{F})$ is not Lie metabelian, we have $\dim H \leq 3$. If $\dim H = 2$, then there exists $x, y \in H$ such that with $[x, y] = x$. In this case we must have $x^p = 0$ and $y^p = y$, so we are done.
- Assume then $\dim H = 3$. It is clear that the identity matrix I_2 must be in H , otherwise $M_2(\mathbb{F})$ is spanned by H and I_2 so that $M_2(\mathbb{F})$ is Lie metabelian, which is not possible.
- Note that $H' \subseteq [M_2(\mathbb{F}), M_2(\mathbb{F})] = \mathfrak{sl}_2(\mathbb{F})$. Since H' is abelian and $\mathfrak{sl}_2(\mathbb{F})$ has no 2-dimensional abelian subalgebra, it follows that $\dim H' = 1$.
- Let $x \in H' \subseteq \mathfrak{sl}_2(\mathbb{F})$. Since $\text{tr}(x) = 0$, it follows that x and I_2 are linearly independent. Let $y \in H$ so that x, y and I_2 span H .

- Since $M_2(\mathbb{F})$ is not Lie metabelian, we have $\dim H \leq 3$. If $\dim H = 2$, then there exists $x, y \in H$ such that with $[x, y] = x$. In this case we must have $x^p = 0$ and $y^p = y$, so we are done.
- Assume then $\dim H = 3$. It is clear that the identity matrix I_2 must be in H , otherwise $M_2(\mathbb{F})$ is spanned by H and I_2 so that $M_2(\mathbb{F})$ is Lie metabelian, which is not possible.
- Note that $H' \subseteq [M_2(\mathbb{F}), M_2(\mathbb{F})] = \mathfrak{sl}_2(\mathbb{F})$. Since H' is abelian and $\mathfrak{sl}_2(\mathbb{F})$ has no 2-dimensional abelian subalgebra, it follows that $\dim H' = 1$.
- Let $x \in H' \subseteq \mathfrak{sl}_2(\mathbb{F})$. Since $\text{tr}(x) = 0$, it follows that x and I_2 are linearly independent. Let $y \in H$ so that x, y and I_2 span H .
- Since H is not abelian, we can assume $[x, y] = x$. But then x^p is a central element in H and so $x^p = \alpha I_2$, for some $\alpha \in \mathbb{F}$. Since $x \in \mathfrak{sl}_2(\mathbb{F})$ and $p \geq 3$, $\text{tr}(x^p) = 0$. Thus, $\alpha = 0$ and so $x^p = 0$.

Thank you!