

Finite-dimensional Lie algebras arising from a Nichols algebras of diagonal type

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Plan of the talk.

I. Preliminaries.

II. Nichols algebras of diagonal type.

III. Pre-Nichols and Post-Nichols algebras.

IV. An exact sequence and Lie algebras.

I. Preliminaries.

Definitions. (V, c) braided vector space: V is a vector space and $c \in GL(V \otimes V)$ satisfies the braid equation,

$$(c \otimes \text{id})(\text{id} \otimes c)(c \otimes \text{id}) = (\text{id} \otimes c)(c \otimes \text{id})(\text{id} \otimes c)$$

Γ **abelian group**; $\mathbb{C}_\Gamma^\Gamma \mathcal{YD} =$ Yetter-Drinfeld modules over $\mathbb{C}\Gamma$:

- $V = \bigoplus_{g \in \Gamma} V_g$ is a Γ -graded vector space;
- V is a left Γ -module such that $g \cdot V_h = V_h$ (compatibility).

$V \in \mathbb{C}_\Gamma^\Gamma \mathcal{YD} \implies V$ braided vector space:

$$c(v \otimes w) = g \cdot w \otimes v, \quad v \in V_g, w \in V.$$

Given $V \in \mathbb{C}^{\Gamma}_I \mathcal{YD}$, the **Nichols algebra** of V is the graded Hopf algebra $\mathcal{B}(V) = \bigoplus_{n \geq 0} \mathcal{B}^n(V)$ in $\mathbb{C}^{\Gamma}_I \mathcal{YD}$ such that

$$1. \mathcal{B}^0(V) = \mathbb{C}, \quad \mathcal{B}^1(V) = P(V) := \{x : \Delta(x) = x \otimes 1 + 1 \otimes x\},$$

$$2. \mathcal{B}(V) = \mathbb{C}\langle \mathcal{B}^1(V) \rangle.$$

$\mathcal{B}(V) \simeq T(V)/\mathcal{J}(V)$, where $\mathcal{J}(V)$ maximal graded Hopf ideal generated by elements in $\bigoplus_{n \geq 2} \mathcal{B}^n(V)$.

Problem: Determine when $\dim \mathcal{B}(V) < \infty$, or $\text{GKdim } \mathcal{B}(V) < \infty$; and the structure of the ideal $\mathcal{J}(V)$ (it depends crucially on the braiding c on V).

II. Nichols algebras of diagonal type.

In this talk, we are interested in the following class:

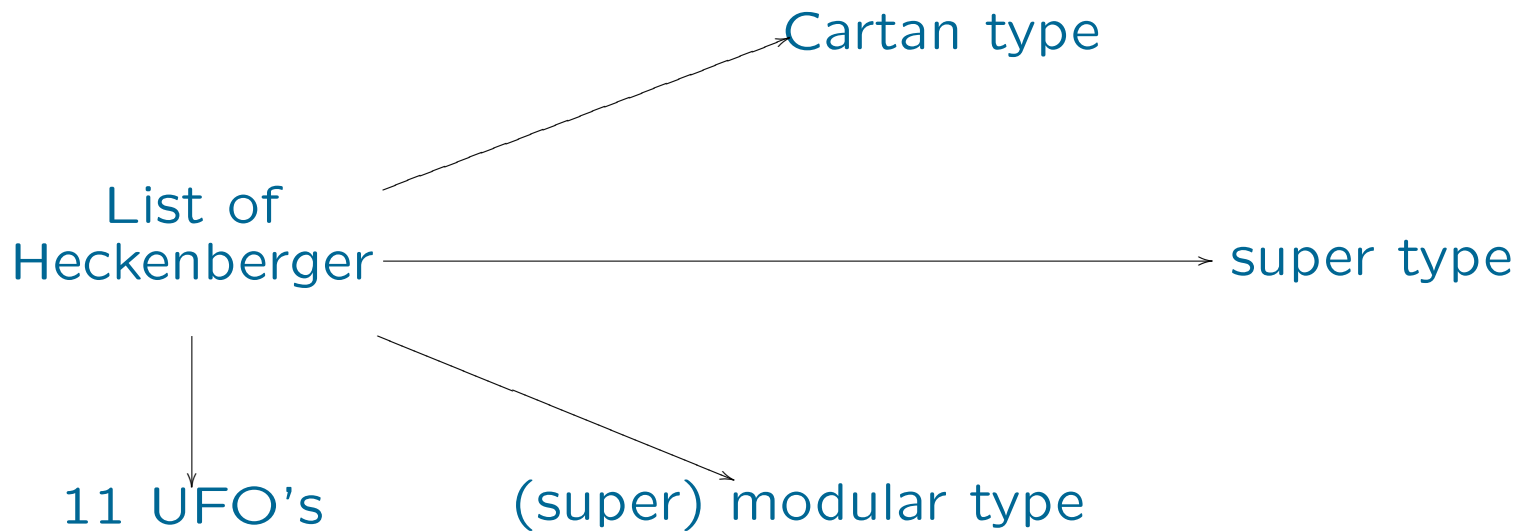
(V, c) is of **diagonal type**: \exists a basis $(x_i)_{i \in \mathbb{I}_\theta}$ and $q = (q_{ij})_{i, j \in \mathbb{I}_\theta}$, $q_{ii} \neq 1$ for all i , with connected diagram, such that

$$c(x_i \otimes x_j) = q_{ij} x_j \otimes x_i, \quad i, j \in \mathbb{I}_\theta.$$

$V \in \mathbb{C}_I^\Gamma \mathcal{YD}$ semisimple (and f. d.) $\implies (V, c)$ is of diagonal type.

Theorem (Heckenberger). V of diagonal type, $\dim \mathcal{B}(V) < \infty$ classified.

Remark. [AAH] $\dim \mathcal{B}(V) < \infty \implies V$ of diagonal type (Γ abelian).



$$(q_{ij})_{i,j \in \mathbb{I}} \rightsquigarrow \mathcal{B}(V)$$

$(q_{ij})_{i,j \in \mathbb{I}}$ in $\mathbb{G}_{\infty}^{\mathbb{I} \times \mathbb{I}}$, $1 \neq q_{ii}$, is of *Cartan type* if for all $i \neq j \in \mathbb{I}$, there exists $a_{ij} \in \mathbb{Z}$, $-\text{ord } q_{ii} < a_{ij} \leq 0$ such that

$$q_{ij}q_{ji} = q_{ii}^{a_{ij}}.$$

Set $a_{ii} = 2$. Then (a_{ij}) is a generalized Cartan matrix.

Theorem. (N. A.–H.–J. Schneider). (V, c) Cartan type, with matrix $(q_{ij})_{i,j \in \mathbb{I}}$. Assume $(\text{ord } q_{ij}, 210) \stackrel{(\#)}{=} 1$ for all i, j . Then

$$\dim \mathcal{B}(V) < \infty \stackrel{(*)}{\iff} (a_{ij}) \text{ of finite type.}$$

If this is the case, $\mathcal{B}(V) = \mathbb{C}\langle x_1, \dots, x_\theta \rangle$ with relations:

$$\begin{array}{ll} \text{(Serre)} & \text{ad}_c(x_i)^{1-a_{ij}}(x_j) = 0, \quad i \neq j \\ \text{(Powers root vect.)} & x_\alpha^{N_J} = 0. \end{array}$$

- Original proof uses Lusztig's results.
- The conclusion $(*)$ holds without the assumption $(\#)$ (Heckenberger) but the defining relations are more involved (Angiono).
- If $(|\mathbb{G}|, 210) = 1$, then the possible V are of Cartan type (Heckenberger).

Fix $\theta \in \mathbb{N}$, $\mathbb{I} = \{1, 2, \dots, \theta\}$.

Basic datum: (\mathcal{X}, ρ) : a set $\mathcal{X} \neq \emptyset$, together with $\rho : \mathbb{I} \rightarrow \mathbb{S}_{\mathcal{X}}$ such that $\rho_i^2 = \text{id}$ for all $i \in \mathbb{I}$.

The set \mathcal{X} will be the basis of various sorts of bundles and ρ commands the symmetries that they may have:

$$\begin{array}{c} \mathcal{E} \\ \downarrow \\ \mathcal{X} \end{array}$$

$C = (c_{ij})_{i,j \in \mathbb{I}} \in \mathbb{Z}^{\theta \times \theta}$ is a generalized Cartan matrix (GCM) if

$$c_{ii} = 2, \quad c_{ij} = 0 \iff c_{ji} = 0, \quad c_{ij} \leq 0 \quad \text{for all } i \neq j \in \mathbb{I}.$$

A **semi-Cartan graph** is a family of GCM $\mathcal{C} = (C^x)_{x \in \mathcal{X}}$,

$C^x = (c_{ij}^x)_{i,j \in \mathbb{I}}$, $x \in \mathcal{X}$, such that $c_{ij}^x = c_{ij}^{\rho_i(x)}$ for all $x \in \mathcal{X}$, $i, j \in \mathbb{I}$.

Let $s_i^x \in GL(\mathbb{Z}^\theta)$: $s_i^x(\alpha_j) = \alpha_j - c_{ij}^x \alpha_i$, $x \in \mathcal{X}$, $i, j \in \mathbb{I}$.

The **Weyl groupoid** $\mathcal{W}(\mathcal{C}) \rightrightarrows \mathcal{X}$ is generated by $s_i^x \in \text{Hom}(x, \rho_i(x))$, $i \in \mathbb{I}$, $x \in \mathcal{X}$. So $w \in \text{Hom}(x_m, x_1)$ is a product

$$s_{i_1}^{x_1} s_{i_2}^{x_2} \cdots s_{i_m}^{x_m} \in GL(\mathbb{Z}^\theta),$$

where $x_m = \rho_{i_{m-1}} \cdots \rho_{i_1}(x_1)$.

A **generalized root system** over \mathcal{C} is a collection

$\mathcal{R} = (R^x)_{x \in \mathcal{X}}$, $R^x \subset \mathbb{Z}^\theta$, such that $\forall x \in \mathcal{X}, i \neq j \in \mathbb{I}$

- $R^x = R^x_+ \cup R^x_-$, where $R^x_\pm := \pm(R^x \cap \mathbb{N}_0^\theta)$.
- $R^x \cap \mathbb{Z}\alpha_i = \{\pm\alpha_i\}$,
- $s_i^x(R^x) = R^{\rho_i(x)}$,
- $(\rho_i \rho_j)^{m_{ij}^x}(x) = (x)$, if $m_{ij}^x := |R^x \cap (\mathbb{N}_0\alpha_i + \mathbb{N}_0\alpha_j)| < \infty$.

$R^{\text{re } x} := \{w(\alpha_i) : i \in \mathbb{I}, w \in \cup_{y \in \mathcal{X}} \text{Hom}_{\mathcal{W}}(y, x)\}$ (*real roots at x*).

Theorem. (Heckenberger). If $\dim \mathcal{B}(V) < \infty$, then it has an associated finite Generalized Root System.

Sketch: The semi-Cartan graph is defined by (Rosso)

$$c_{ij}^{\mathfrak{q}} := \begin{cases} 2 & \text{si } i = j; \\ -\min\{n \in \mathbb{N}_0 : (n+1)_{q_{ii}}(1 - q_{ii}^n q_{ij} q_{ji}) = 0\} & \text{si } i \neq j. \end{cases}$$

Let $\mathfrak{q} : \mathbb{Z}^\theta \times \mathbb{Z}^\theta \rightarrow \mathbb{C}^\times$ be the bilinear form $\mathfrak{q}(\alpha_j, \alpha_k) = q_{jk}$ $j, k \in \mathbb{I}$.

$$\rho_i(\mathfrak{q})_{jk} = \mathfrak{q}(s_i^{\mathfrak{q}}(\alpha_j), s_i^{\mathfrak{q}}(\alpha_k)), \quad j, k \in \mathbb{I}.$$

$$\rho_i(V) = \text{braided v. sp. of diagonal type with matrix } \rho_i(\mathfrak{q}).$$

$$\mathcal{X} = \{\rho_{i_1} \cdots \rho_{i_N}(V) : N \in \mathbb{N}_0, i_1, \dots, i_N \in \mathbb{I}\}.$$

$$R^W = \{\text{degrees of the generators of a PBW-basis of } \mathcal{B}(W)\}, \quad W \in \mathcal{X}.$$

(existence of PBW-basis by Kharchenko's theorem).

From now on \mathfrak{q} is assumed to be symmetric.

Let $\mathfrak{u}(V) = \mathcal{B}(V) \otimes \mathbb{C}\Gamma \otimes \mathcal{B}(V) =$ Hopf algebra with triangular decomposition associated to V, Γ .

Theorem. (Heckenberger). If $i \in \mathbb{I}$, then there is an algebra isomorphism $T_i : \mathfrak{u}(V) \rightarrow \mathfrak{u}(\rho_i(V))$ (Lusztig-like isomorphism).

This gives a representation of the Weyl groupoid of V .

Modular contragredient Lie superalgebras

\mathbb{k} field char $\mathbb{k} = \ell \neq 2$, $A = (a_{ij}) \in \mathbb{C}^{\theta \times \theta}$,

$\mathbf{p} = (p_i) \in (\mathbb{Z}/2)^\theta$, parity vector. \mathfrak{h} \mathbb{k} -v. sp., $\dim \mathfrak{h} = 2\theta - \text{rk } A$,

$\xi_j \in \mathfrak{h}^*$, $h_i \in \mathfrak{h}$ L. I., such that $\xi_j(h_i) = a_{ij}$ for all $i, j \in \mathbb{I}$.

◦ $\tilde{\mathfrak{g}} := \tilde{\mathfrak{g}}(A, \mathbf{p}) =$ Lie superalgebra generated by $e_i, f_i, i \in \mathbb{I}$, and \mathfrak{h} , with parity and relations

$$\begin{aligned} |e_i| &= |f_i| = p_i, \quad i \in \mathbb{I}, & |h| &= 0, \quad h \in \mathfrak{h}, \\ [h, e_j] &= \xi_j(h)e_j, & [h, h'] &= 0, \\ [h, f_j] &= -\xi_j(h)f_j, & [e_i, f_j] &= \delta_{ij}h_i. \end{aligned}$$

- $\mathfrak{r} :=$ maximal ideal among those that intersect \mathfrak{h} trivially;
- $\mathfrak{g}(A, \mathbf{p}) := \tilde{\mathfrak{g}}(A, \mathbf{p})/\mathfrak{r}$ *contragredient Lie superalgebra*.

Theorem. (N. A.–I. Angiono).

(a) If $\dim \mathfrak{g}(A, \mathfrak{p}) < \infty$, then it has an associated finite Generalized Root System.

(b) Each of these GRS also appears as a GRS of a Nichols algebra of diagonal type.

Remark. There are still 11 unidentified braided vector spaces of diagonal type in the list.

III. Pre-Nichols and Post-Nichols algebras.

$R = \bigoplus_{n \geq 0} R^n \in {}^H_H\mathcal{YD}$ graded connected Hopf algebra, $V = R^1$

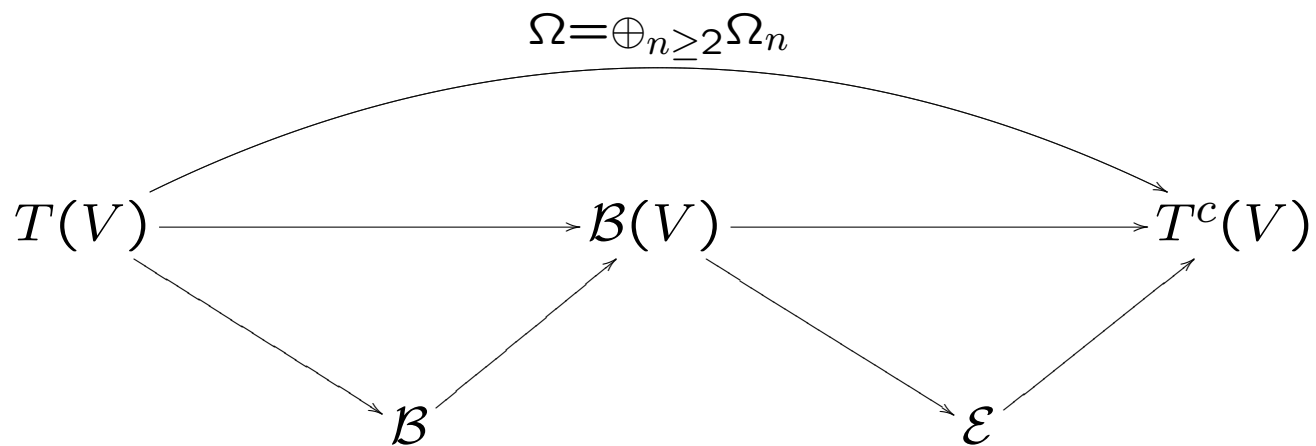
R is a Nichols algebra when

♣ R is coradically graded $\iff P(R) = V$.

♦ R is generated by V .

R is a **pre-Nichols** algebra when ♦ holds (Masuoka).

R is a **post-Nichols** algebra when ♣ holds.



$T(V)$ free algebra
 Ω_n quantum symm.

$T^c(V)$ free coalgebra
 \mathcal{B} pre-Nichols

$\mathcal{B}(V)$ Nichols alg.
 \mathcal{E} post-Nichols

$\mathfrak{Pre}(V)$: poset of pre-Nichols, \leq is \rightarrow ; min. $T(V)$, max. $\mathcal{B}(V)$.

$\mathfrak{Post}(V)$: poset of post-Nichols, \leq is \subseteq ; min. $\mathcal{B}(V)$, max. $T^c(V)$.

($\dim V < \infty$): $\Phi : \mathfrak{Pre}(V) \rightarrow \mathfrak{Post}(V^*)$, $\Phi(R) = R^d$, anti-isom.

Post-Nichols algebras with finite GKdim are relevant for the classification of Hopf algebras with finite GKdim.

Let K be a Hopf algebra, $V \in \frac{K}{K}\mathcal{YD}$ finite-dimensional.

Lemma. \mathcal{B} a pre-Nichols algebra of a V , $\mathcal{E} = \mathcal{B}^d$. Then $\text{GKdim } \mathcal{E} \leq \text{GKdim } \mathcal{B}$. If \mathcal{E} is fin. gen., then $\text{GKdim } \mathcal{E} = \text{GKdim } \mathcal{B}$.

V is *pre-bounded* if every chain

$$\cdots < \mathcal{B}[3] < \mathcal{B}[2] < \mathcal{B}[1] < \mathcal{B}[0] = \mathcal{B}(V), \quad (1)$$

of pre-Nichols algebras over V with finite GKdim, is finite.

Lemma. $\mathcal{E} \in \frac{K}{K}\mathcal{YD}$ post-Nichols algebra of V , $\text{GKdim } \mathcal{E} < \infty$. If V^* is pre-bounded, then \mathcal{E} is fin. gen. and $\text{GKdim } \mathcal{E} = \text{GKdim } \mathcal{E}^d$.

In particular, if the only pre-Nichols algebra of V^* with finite GKdim is $\mathcal{B}(V^*)$, then $\mathcal{E} = \mathcal{B}(V)$.

Examples: the only pre-Nichols or post-Nichols algebra of V with finite GKdim is $\mathcal{B}(V)$ when:

- V is of finite generic Cartan type,
- V is of Jordan or super Jordan type.
- (V, c) $\theta := \dim V < \infty$; $c =$ the usual flip. Then
 - $\mathcal{B}(V) = S(V)$, $T(V) \simeq U(L(V))$ (free Lie algebra on V).
 - \mathcal{B} a pre-Nichols algebra of $V \Rightarrow U(P(\mathcal{B}))$; $P(\mathcal{B})$ graded Lie algebra generated by V .
 - L \mathbb{N} -graded Lie algebra generated by $L_1 \simeq V \Rightarrow U(L)$ is a pre-Nichols algebra of V . L finite-dimensional $\Rightarrow \text{GKdim } U(L) < \infty$. Such Lie algebras are nilpotent, there are infinitely many.

Distinguished pre-Nichols algebras. $\mathfrak{q} = (q_{ij})_{i,j \in \mathbb{I}_\theta} \rightsquigarrow V$.

Assume $\dim \mathcal{B}(V) < \infty$. Notation: $\mathcal{B}_\mathfrak{q} = \mathcal{B}(V) = T(V)/\mathcal{J}_\mathfrak{q}$.

$$c_{ij}^\mathfrak{q} := - \min \left\{ n \in \mathbb{N}_0 : (n+1)_{q_{ii}} (1 - q_{ii}^n q_{ij} q_{ji}) = 0 \right\}, \quad i \neq j.$$

- $i \in \mathbb{I}$ is a *Cartan vertex* of \mathfrak{q} if $q_{ij} q_{ji} \stackrel{(\star)}{=} q_{ii}^{c_{ij}^\mathfrak{q}}$, for all $j \neq i$.
- $\alpha = s_{i_1}^\mathfrak{q} s_{i_2} \dots s_{i_k}(\alpha_i) \in \Delta_+^\mathfrak{q}$ Cartan root of \mathfrak{q} if $i \in \mathbb{I}$ Cartan vertex of $\rho_{i_k} \dots \rho_{i_2} \rho_{i_1}(\mathfrak{q})$.

\mathfrak{S} = set of generators of \mathcal{J}_q (Angiono). Let

$$\mathcal{J}_q \supset \mathcal{I}_q := \langle \mathfrak{S} \cup \mathfrak{S}_2 - \mathfrak{S}_1 \rangle$$

$$\mathfrak{S}_1 = \{\text{powers root vectors } E_\alpha^{N_\alpha}, \alpha \text{ Cartan root}\};$$

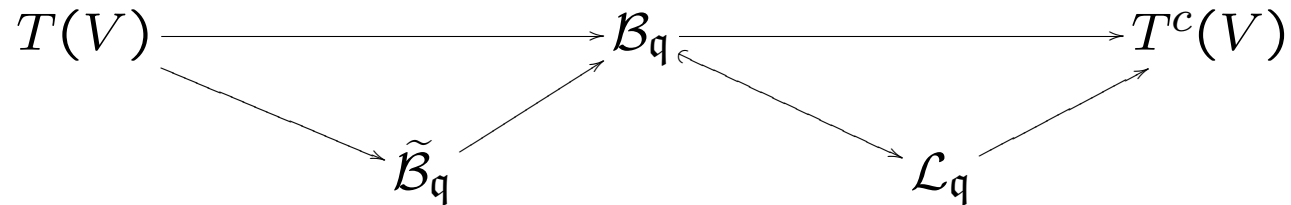
$$\mathfrak{S}_2 = \{\text{quantum Serre rel. } (\text{ad}_c E_i)^{1-c_{ij}^q} E_j, i \neq j \text{ s. t. } (\star).\}$$

Definition (Angiono). The *distinguished pre-Nichols algebra* of V is $\tilde{\mathcal{B}}_q = T(V)/\mathcal{I}_q$. Let $\tilde{u}(V) = \tilde{\mathcal{B}}(V) \otimes \mathbb{C}\Gamma \otimes \tilde{\mathcal{B}}(V)$.

Theorem. (Angiono) (a) $\text{GKdim } \tilde{\mathcal{B}}_q = |\{\text{Cartan roots}\}|$.

(b) The Lusztig automorphisms can be lifted to $\tilde{u}(V)$.

The Lusztig algebra \mathcal{L}_q of V is the graded dual of $\tilde{\mathcal{B}}_q$.



Theorem. [AAR]. $\text{GKdim}_q \mathcal{L}_q = |\{\text{Cartan roots}\}|$.

Example: $q = (q_{ij})_{i,j \in \mathbb{I}_\theta}$ of Cartan type, i. e. $q_{ij}q_{ji} = q_{ii}^{c_{ij}^q}$, for all $j \neq i$. Assume $(\text{ord } q_{ij}q_{ji}, 210) = 1$ for all i, j . Then

$\mathcal{B}_q = \mathbb{C}\langle x_1, \dots, x_\theta \mid \text{quantum Serre rel., power root vectors} \rangle$;

$\tilde{\mathcal{B}}_q = \mathbb{C}\langle x_1, \dots, x_\theta \mid \text{quantum Serre rel.} \rangle$

$\text{GKdim } \tilde{\mathcal{B}}_q = \text{GKdim}_q \mathcal{L}_q = |\Delta^+|$.

IV. An exact sequence and Lie algebras.

Let $\pi : \tilde{\mathcal{B}}_{\mathfrak{q}} \rightarrow \mathcal{B}_{\mathfrak{q}}$ be the projection. Let $Z_{\mathfrak{q}}$ be the subalgebra of $\tilde{\mathcal{B}}_{\mathfrak{q}}$ generated by $x_{\beta}^{N_{\beta}}$, β Cartan root.

We need that if α, β are Cartan roots, then $q_{\alpha\beta}^{N_{\beta}} = 1$.

Theorem. (Angiono). $Z_{\mathfrak{q}} = \tilde{\mathcal{B}}_{\mathfrak{q}}^{\text{CO}\pi}$ is a commutative normal Hopf subalgebra of $\tilde{\mathcal{B}}_{\mathfrak{q}}$ and the following sequence is exact:

$$\mathbb{C} \rightarrow Z_{\mathfrak{q}} \xrightarrow{\iota} \tilde{\mathcal{B}}_{\mathfrak{q}} \xrightarrow{\pi} \mathcal{B}_{\mathfrak{q}} \rightarrow \mathbb{C}.$$

Let \mathfrak{Z}_q be the graded dual of Z_q .

Theorem. [AAR] \mathfrak{Z}_q is a cocommutative Hopf algebra and the following sequence is exact:

$$\mathbb{C} \rightarrow \mathcal{B}_q \xrightarrow{\pi^*} \mathcal{L}_q \xrightarrow{\iota^*} \mathfrak{Z}_q \rightarrow \mathbb{C}.$$

\mathfrak{Z}_q is the enveloping algebra of the Lie algebra $\mathfrak{n}_q = \mathcal{P}(\mathfrak{Z}_q)$.

This Lie algebra can be explicitly described and computed.

Diagram	Parameter	Type	$n_q = g^+$
$\begin{array}{c} q \quad q^{-1} \quad q \\ \circ \text{---} \circ \end{array}$	$q \neq 1$	Cartan A	A_2
$\begin{array}{c} q \quad q^{-1} \quad -1 \\ \circ \text{---} \circ \end{array}$	$q \neq \pm 1$	Super A	A_1
$\begin{array}{c} q \quad q^{-2} \quad q^2 \\ \circ \text{---} \circ \end{array}$	$q \neq \pm 1$	Cartan B	B_2
$\begin{array}{c} q \quad q^{-2} \quad -1 \\ \circ \text{---} \circ \end{array}$	$q \notin \mathbb{G}_4$	Super B	$A_1 \times A_1$
$\begin{array}{c} \zeta \quad q^{-1} \quad q \\ \circ \text{---} \circ \end{array}$	$\zeta \in \mathbb{G}_3 \not\cong q$	$\text{br}(2, a)$	$A_1 \times A_1$
$\begin{array}{c} \zeta \quad -\zeta \quad -1 \\ \circ \text{---} \circ \end{array}$	$\zeta \in \mathbb{G}'_3$	Standard B	0
$\begin{array}{c} -\zeta^{-2} \quad -\zeta^3 \quad -\zeta^2 \\ \circ \text{---} \circ \end{array}$	$\zeta \in \mathbb{G}'_{12}$	$\text{ufo}(7)$	0
$\begin{array}{c} -\zeta^2 \quad \zeta \quad -\zeta^2 \\ \circ \text{---} \circ \end{array}$	$\zeta \in \mathbb{G}'_{12}$	$\text{ufo}(8)$	A_1

Diagram	Parameter	Type	\mathfrak{n}_q
$\begin{array}{c} -\zeta \quad \zeta^{-2} \quad \zeta^3 \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_9$	$\text{brj}(2; 3)$	$A_1 \times A_1$
$\begin{array}{c} q \quad q^{-3} \quad q^3 \\ \circ \text{-----} \circ \end{array}$	$q \notin \mathbb{G}_2 \cup \mathbb{G}_3$	Cartan G_2	G_2
$\begin{array}{c} \zeta^2 \quad \zeta \quad \zeta^{-1} \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_8$	Standard G_2	$A_1 \times A_1$
$\begin{array}{c} \zeta^6 \quad \zeta \quad \zeta^{-1} \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_{24}$	$\text{ufo}(9)$	$A_1 \times A_1$
$\begin{array}{c} \zeta \quad \zeta^2 \quad -1 \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_5$	$\text{brj}(2; 5)$	B_2
$\begin{array}{c} \zeta \quad \zeta^{-3} \quad -1 \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_{20}$	$\text{ufo}(10)$	$A_1 \times A_1$
$\begin{array}{c} -\zeta \quad -\zeta^{-3} \quad \zeta^5 \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_{15}$	$\text{ufo}(11)$	$A_1 \times A_1$
$\begin{array}{c} -\zeta \quad -\zeta^{-3} \quad -1 \\ \circ \text{-----} \circ \end{array}$	$\zeta \in \mathbb{G}'_7$	$\text{ufo}(12)$	G_2

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